

12/27/01

JC927 U.S. PTO

Please type a plus sign (+) inside this box

01-03-02

PTO/SB/05 (03-01)

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. DM-6950

First Inventor Shuang Liu

Title POLYPODAL CHELANTS FOR
METALLOPHARMACEUTICALS

Express Mail Label No. EV021473880US

69530/01
12/27/01

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 108]
(preferred arrangement set forth below)
- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table,
or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s) 91 claims
- Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 2]
5. Oath or Declaration [Total Pages 1]
a. ☒ Newly executed (original or copy)
b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 18 completed)
i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Pat:
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
a. ☐ Computer Readable Form (CRF)
b. Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ paper
c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. §3.73(b) Statement ☒ Power of
(when there is an assignee) Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,
or in an Application Data Sheet under 37 CFR 1.76:☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No: ____ /

Prior application information: Examiner ____

Group / Art Unit:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied
under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference.
The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

24348

PATENT TRADEMARK OFFICE

or ☐ Correspondence address below

Name

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

Peter L. Dolan

Registration No. (Attorney/Agent)

46,307

Signature

Date

Dec 28, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the
amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO
NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

**FEE TRANSMITTAL
for FY 2001**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 2,360.00

Complete if Known

Application Number	To Be Assigned
Filing Date	December 27, 2001
First Named Inventor	Shuang Liu
Examiner Name	To Be Assigned
Group / Art Unit	To Be Assigned
Attorney Docket No.	DM-6950

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit
Account
Number

023850

Deposit
Account
Name

Bristol-Myers Squibb Company

- ☒ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17
☐ Applicant claims small entity status.
See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION**1. BASIC FILING FEE**

Large Fee Code	Entity	Small Fee Code	Entity	Fee (\$)	Fee (\$)	Fee Description	Fee Paid
101		740	201	355		Utility filing fee	740.00
106		320	206	160		Design filing fee	
107		490	207	245		Plant filing fee	
108		710	208	355		Reissue filing fee	
114		150	214	75		Provisional filing fee	
SUBTOTAL (1)							(\$) 740.00

2. EXTRA CLAIM FEES

Total Claims	110	-20	=	90	Extra Claims	Fee from below	18	=	1,620	Fee Paid
Independent Claims	3	-3**	=	0				=	0	
Multiple Dependent								=	0	

Large Fee Code	Entity	Small Fee Code	Entity	Fee (\$)	Fee (\$)	Fee Description
103		18	203	9		Claims in excess of 20
102		80	202	40		Independent claims in excess of 3
104		270	204	135		Multiple dependent claim, if not paid
109		80	209	40		** Reissue independent claims over original patent
110		18	210	9		** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 1,620.00**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Fee Code	Entity	Small Fee Code	Entity	Fee (\$)	Fee (\$)	Fee Description	Fee Paid
105		130	205	65		Surcharge - late filing fee or oath	
127		50	227	25		Surcharge - late provisional filing fee or cover sheet	
139		130	139	130		Non-English specification	
147		2,520	147	2,520		For filing a request for ex parte reexamination	
112		920*	112	920*		Requesting publication of SIR prior to Examiner action	
113		1,840*	113	1,840*		Requesting publication of SIR after Examiner action	
115		110	215	55		Extension for reply within first month	
116		390	216	195		Extension for reply within second month	
117		920	217	445		Extension for reply within third month	
118		1,390	218	695		Extension for reply within fourth month	
128		1,890	228	945		Extension for reply within fifth month	
119		310	219	155		Notice of Appeal	
120		310	220	155		Filing a brief in support of an appeal	
121		270	221	135		Request for oral hearing	
138		1,510	138	1,510		Petition to institute a public use proceeding	
140		110	240	55		Petition to revive - unavoidable	
141		1,240	241	620		Petition to revive - unintentional	
142		1,240	242	620		Utility issue fee (or reissue)	
143		440	243	220		Design issue fee	
144		600	244	300		Plant issue fee	
122		130	122	130		Petitions to the Commissioner	
123		130	123	50		Petitions related to provisional applications	
126		240	126	240		Submission of Information Disclosure Stmt	
581		40	581	40		Recording each patent assignment per property (times number of properties)	
146		710	246	355		Filing a submission after final rejection (37 CFR § 1.129(a))	
149		710	249	355		For each additional invention to be examined (37 CFR § 1.129(b))	
179		710	279	355		Request for Continued Examination (RCE)	
169		900	169	900		Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

**or number previously paid, if greater; For Reissues, see above

SUBMITTED BY

Name (Print/Type)

Peter L. Dojan

Registration No. Attorney/Agent

48,307

Telephone

302-695-7776

Signature

Date

Dec 27, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

10033769-422701